

## PRIVACY NOTICE AND DOCUMENT RETENTION POLICY

In the course of providing our clients with advice, we sometimes receive significant personal and commercial financial information from our clients. If you are a client of Polymath Principal Partners, LP “P3 Capital” you should know that all information we receive from you is held in confidence and is not released to people outside the firm, except as agreed to by you, or as required under applicable law.

We retain records relating to professional services that we provide so that we are better able to assist you with your professional needs and, in some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

If you have any questions about the confidentiality and security of your personal and financial information, please feel free to contact us.

### ELECTRONIC (EMAIL) COMMUNICATIONS NOTICE

During the course of our engagement, we may communicate with you or others via email transmission. As emails can be intercepted and read, disclosed, or otherwise used or communicated by an unintended third party, or may not be delivered to each of the parties to whom they are directed and only to such parties, we cannot guarantee or warrant that emails from us will be properly delivered and read only by the addressee. Therefore, we specifically disclaim and waive any liability or responsibility whatsoever for interception or unintentional disclosure of emails transmitted by us in connection with the performance of any services. In that regard, you agree that we shall have no liability for any loss or damage to any person or entity resulting from the use of email transmissions, including any consequential, incidental, direct, indirect or special damages, such as loss of revenues or anticipated profits, or disclosure or communication of confidential or proprietary information.

### RECORD RETENTION NOTICE

During the course of our work with you, we may use one or more third-party applications (including internet-based application providers) to provide portions of our services to you. P3 Capital is not liable for record retention or any other aspect of the services provided by these third parties, even if we absorb the cost (in part or in full) of a third party service as a benefit to you. You at all times assume responsibility for a decision to maintain hard copies of your original documents or to limit your document retention to the digital copies stored by the web application.

It is our policy to keep records related to engagements for 7 years for discontinued clients and indefinitely for ongoing clients. If you are unsure as to how to best maintain your records, please let us know and we can review this with you. We will provide you with copies of all reports prepared that should be a part of your books and records, but our records pertaining to this engagement are not a substitute for your original records, and physical deterioration or catastrophic events may shorten the term during which our records will be available.

In the unlikely event that we do obtain any hard copy documents from you, all original paper documents provided by you will be returned to you promptly as our work is complete. We do not keep copies of these documents as a policy. It is your responsibility to safeguard your documents in case of future need. We may occasionally keep some copies we deem necessary to our work.

If our engagement with you ends for any reason, we may provide you with the option to continue any third-party subscription-based services at your expense (in some cases we may have absorbed the cost of these services during our work with you). If the foregoing is applicable, we do offer continuation of the applicable services, you agree to complete the transfer of services to your name and assume responsibility for payment within 10 days of the end of our work with you. Our “end date” will be defined as the 11th business day following the date shown on the email or letter of termination/resignation transmitted by either party. You understand that if you do not assume responsibility for these services that they may be canceled. Additional fees may apply if you elect to restore those services (if that option is available from the service provider) or request copies (digital or hard copy) of records from the third-party provider.

## OWNERSHIP OF DOCUMENTS DISCLOSURE

All original documents obtained from you arising from any engagements performed by Polymath Principal Partners, LP on your behalf shall remain Client property. However, P3 Capital reserves the right to make a reasonable number of copies of the original documents for our records.

## CLIENT ACKNOWLEDGEMENT

By signing this Notice you confirm that you understand Polymath Principal Partner, LP’s Policies regarding the Privacy of Information and Retention of Records as contained herein.

---

Client Name

---

Signature

---

Date